

Adviser third party information and fee authority form

For Super and Pension members previously with Christian Super

Please use BLOCK LETTERS and black ink.

Complete this form to authorise your adviser (third party) to view your information in relation to your Australian Ethical Super account(s). Authorisation will begin when your completed form is received and processed by us. Please consider the level of information relating to your account that will be made available to your adviser. This form should also be completed to start or amend an adviser service fee authority arrangement.

Important: Please ensure your dealer group has completed a Recipient Created Tax Invoice (RCTI) if adviser fees are going to be paid. Otherwise, there could be delays when setting up the fee arrangement.



Use this form if you were previously a Christian Super member.

Send the form together with any other associated documentation to:

Email: advisers@australianethical.com.au or post this form to Australian Ethical Super Level 8, 130 Pitt Street, Sydney, NSW 2000

Step 1: Confirm your member details (This section is used to verify your membership in the fund, not to update your details) Member number Date of birth (DD/MM/YYYY) Surname Full given name(s) Home phone Mobile Email Address Suburb State Postcode Country

Step 2: Adviser, Nominee and Dealer Group details (together, the 'Advice Entities')				
Adviser full name	Adviser Company Name			
Email (personalised)	Secondary nominee name			
Direct line	Email (personalised)			
Mobile	Direct line			
Authorised rep #	Mobile Company			
Dealer Group Name	Type of nominee:			
	☐ Paraplanner ☐ Assistant			
Dealer Group AFSL	U Other UUUUUUUUUUUUUUUUUUUUUUUUUUUUUUUUUUUU			
Type of Authority				
☐ I authorise my financial adviser named above and their support staff to view my account details using Australian Ethical Super's Adviser portal				
☐ I want to set up a new adviser service fee authority Complete steps 3, 4 and 5				
Note: if you currently have an adviser service fee set up, your new adviser service fee arrangement will only commence once the previous one has ended.				
☐ I only want to set up a third party information authority Complete steps 4 and 5				
☐ I want to amend my current third-party information authority Complete steps 4 and 5				
Note: this will only update your third-party information authority. If you have an adviser service fee in place, this will remain as is.				
Step 3: Adviser service fee				
This fee nomination is to apply to my: \square Australian Ethical Super account or \square Australian Ethical Pension account				
Your adviser (and your adviser's Dealer Group) is requesting your consent to arrange for the deduction of an advice fee from your				
account(s) for their advice and related services, as set out below. If you give your consent by this form, you will also give your consent to the trustees of Australian Ethical Super to deduct the relevant advice				
fee from your account to pay your adviser (or your adviser's Dealer Group). If you are not happy with the amount or the service being offered by your financial adviser, you do not have to sign this consent.				
If you sign this consent, the following fee will be deducted proportionately from your investment options in your account at the end of the month. If there are insufficient funds in your account at deduction date, the adviser service fee will be deducted at the end of the month when sufficient funds are first available to pay the full amount of the fee.				
Please ensure the amount you insert below is the annual amount (if fee being made in instalments) and is inclusive of GST.				
One-off fee paid as a lump sum	One-off fee paid in monthly instalments over a maximum of 12 months			
Maximum per annum - \$8,000 (incl. GST)	Maximum per annum - \$8,000 (incl. GST)			

New members fee nomination: For the one-off fee paid in monthly instalments, the fee start date is the date your account is funded and this nomination is processed.

Existing Members fee nomination:

If you have an existing monthly instalment fee arrangement in place, it will continue until all outstanding fees are paid in full. After the last payment is completed, the fee nominated in this form will start to be deducted.

Your fee consent expiry or withdrawal

How long consent will last - Your fee consent expires once the full amount of the one-off fee has been deducted from your account (up to a maximum of 12 months from the date this form is processed), provided that the relevant arrangement with your adviser (or your adviser's Dealer Group) has not terminated and you have not withdrawn this consent prior to that date. This means your financial adviser will have to ask for your consent again if Australian Ethical Super is to deduct fees from your account for further advice in the future.

Withdrawal of consent - You can withdraw your consent to the deduction of adviser service fees from your account by contacting us using the contact details on the final page of this form, at any time prior to the fee being deducted from your account. If your account is closed or we are notified of any relevant changes to the arrangements or details outlined in this form, your advice fee may stop, with the final fee deduction being a pro-rata calculation based on the date the consent withdrawal is received.

Your financial advisers' obligations

Your financial adviser will provide you with financial advice for the fee set out in this consent form. As part of this advice, your adviser will have provided you with a Statement of Advice which may cover, amongst other things the suitability of your existing superannuation arrangements and whether you will have enough super for your retirement.

Step 4: Adviser declaration and Signature

Where an adviser service fee is charged:

As the financial adviser for this member, I declare that:

- The adviser service fee being requested is in relation to the member's account held with Australian Ethical Super and
- I have provided this member with a Statement of Advice which includes the following service/s related to this fee (please tick types

of services provided):
\square The suitability of this member's existing superannuation arrangements
\square whether the member will have an adequate superannuation fund balance for their retirement.
Other (please describe these services):

By arranging for the deduction of the advice fee detailed in this form, the Advice Entities requesting this consent confirm and agree that:

- The proposed adviser service fee(s) and the details provided in this form are consistent with the terms of the arrangement between the Advice Entities and the member and there is no other agreement or arrangement with the member that may be inconsistent with the details provided in this consent. To the extent of any inconsistency between the terms of the existing arrangement between the Advice Entities and the member and the details in this form, this form prevails;
 - The Trustee of Australian Ethical Super is not a party to the above arrangements and is not liable for any losses which may arise therefrom
 - The Advice Entities will immediately inform Australian Ethical Super of any changes to the arrangement with the member that may be inconsistent with the details provided in this consent;
 - The services listed in this form have been or will be provided in accordance with the terms of the arrangement with the member and this consent:
 - The proposed Adviser service fee amount is a reasonable amount for the proposed services listed below;
 - Where the account is a superannuation account, the services provided will only be in relation to the member's super account
 - Once the member has signed the form, the Advice Entities authorise for the signed form to be provided to Australian Ethical Super
 - the Adviser will still have additional obligations under the Dealer Group/Adviser terms and conditions (as applicable).
- I will be responsible for making necessary arrangements directly with the relevant dealer group to recoup any overpayments that have been made to them and to direct it to the correct entity in relation to fees for advice referred to in this form.
- I understand the adviser service fee deduction will stop if the account is closed, if the consent is withdrawn or there have been any changes to the arrangements nominated in this form requiring a new form to be signed.
- If any payments for the adviser service fee are outstanding, I will contact my client directly to arrange a new agreement/payment and neither the Trustee nor Australian Ethical Super will be liable for the payment of any outstanding fees.
- If payments for the adviser service fee have been made to the incorrect dealer group due to a failure to provide Australian Ethical Super with updated information, neither the Trustee nor Australian Ethical Super will have any obligation in relation to those payments.
- I understand the Trustee may be in contact with me in relation to this advice fee arrangement and may seek confirmation that services have been provided and may request an extract of the Statement of Advice, if required.



Where there is an information authority:

- I confirm that any advice provided to this member in relation to Australian Ethical's Superannuation products has taken into
 consideration the Target Market Determination available at www.australianethical.com.au/super/pds-forms/ and this advice is
 consistent with the proposed acquisition of the product.
- I agree to keep the member's personal information private and confidential and to only use their personal information for the
 purposes of providing them with financial advice.
- I understand that I am not authorised to change the member's personal contact details, give any instructions or carry out any transactions on the member's behalf, including switching investment options, making contributions, requesting a rollover or making lump sum withdrawals.
- I understand that I am liable for all actions of the secondary person nominated on this form in Step 2.
- I understand my personal information will be held in accordance with the Privacy Policy available at australianethical.com.au/privacy-policy
- Please note we currently do not accept electronic signatures on forms. To avoid delays or having to complete this form again, ensure you sign the documents with a wet ink signature (i.e. by hand with a black or blue ink pen).

Adviser Signature	
×	
Adviser full name (please print)	Date (DD/MM/YYYY)

Step 5: Member declaration and authorisation

Third party information authority

By signing this form I am making the following declaration:

- I declare that the information I have provided on this form is true and correct.
- I understand that Australian Ethical Super may provide information relating to my account in writing, verbally or through a secure digital portal to the adviser (and nominee(s)) on this form and their support staff when requested by the adviser.
- I understand this nomination will remain in effect until I or my adviser provides notice to revoke the authority, even if the adviser service fee consent has expired or been revoked.
- I agree that neither the Trustee nor Australian Ethical Super is responsible for any loss or delay which results from Australian Ethical Super providing information to my adviser.
- I understand once Australian Ethical Super receives the completed form, they will provide my nominee(s) (indicated in step 2), with information (oral, written or electronic) in relation to my Australian Ethical Super account as if the request was made by me.
- I understand the nominated person(s) will not be authorised to change my personal contact details, give any instructions or carry out any transactions on my behalf, including switching investment options, making contributions, requesting a rollover or making lump sum withdrawals. Personal information such as my tax file number and bank account details will not be released to the nominated person under any circumstances.

- I agree to release, discharge and indemnify the Trustee and/or Australian Ethical Super from and against all actions, claims, demands, expenses and liabilities (however they arise) suffered by myself or suffered by or brought against the Trustee and/or Australian Ethical Super, in respect of the information given by Australian Ethical Super to the nominated person pursuant to this authority.
- I agree and understand that I have directly engaged the dealer group and/or the adviser to provide financial services. I agree that the Trustee or Australian Ethical Super is not a party to that arrangement and is not liable for any loss which results from that arrangement.
- I declare that I, the member named in this form, have read and agree to these terms and conditions of appointing the adviser and nominee (if applicable) to have the authority to access my information.
- I agree that the neither the Trustee nor Australian Ethical Super will be held liable for any loss arising from: any fraud or inappropriate action taken by an adviser (or a person purporting to be an adviser) as a result of using information held about me.

Adviser service fee consent

- I have directly engaged the adviser and/or the Dealer Group for the advice services to be provided to me and I consent to Australian Ethical Super deducting the fees from my super account and paying them to the Dealer Group.
- I understand that I can vary/withdraw my consent at any time before the fee is deducted.
- In the event that the adviser and/or Dealer Group notify
 Australian Ethical Super of an assignment of its/their rights
 under the fee agreement, I authorise Australian Ethical Super to
 pay the fees to the new adviser and/or dealer group (on the
 basis that they will provide any outstanding services owed to
 me). Unless I notify you otherwise, this consent will continue
 to apply to such new adviser and/or dealer group.
- I will notify Australian Ethical Super if I do not receive the services listed above, or an inconsistency arises between the details in this form and my agreement with the adviser and/or Dealer group.
- If a transaction is pending on my account when this consent is withdrawn, the transaction will need to be processed first before the adviser service fee consent is removed.
- If there are insufficient funds in my account on the deduction date, the adviser service fee will be deducted at the end of the month when sufficient funds are first available to pay the full amount of the fee.

- I understand that an adviser fee request will be rejected if the maximum annual limit has been reached, or if the requested payment breaches this limit.
- I understand and agree that if there are any changes to the
 adviser, adviser company or dealer group or other details
 specified in this form, a new Adviser third party information and
 fee authority form may need to be completed, upon request.
 I understand Australian Ethical Super will cease payment of
 fees under this authority if a new fee authority is requested.
- I understand the adviser service fee deduction will stop if my account is closed, if the consent is withdrawn or there have been any changes to the arrangements nominated in this form requiring a new form to be signed.
- If a payment for the adviser service fee is outstanding, I will
 contact my adviser or the dealer group (as required) directly to
 arrange a new agreement/payment and neither the Trustee nor
 Australian Ethical Super will be liable for the payment of any
 outstanding fees.
- I declare that the adviser service fee only relates to advice about superannuation.

Please note we currently do not accept electronic signatures on forms. To avoid delays or having to complete this form again, ensure you sign the document with a wet ink signature (i.e. by hand with a black or blue ink pen).	
Member Signature	
×	
Member full name (please print)	Date (DD/MM/YYYY)

If you have any questions, please contact Australian Ethical Super on 1800 021 227.

Australian Ethical Superannuation Pty Ltd (ABN 43 079 259 733, RSE L0001441, AFSL 526 055), Trustee of the Australian Ethical Retail Superannuation Fund (ABN 49 633 667 743, USI/SPIN CHR0001AU))

 \boldsymbol{T} 1800 021 227 | \boldsymbol{W} australianethical.com.au

